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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s):  
Andrew Thomas, et al.

Serial No.: 10/005,376

Filed: December 4, 2001

For: "ENCODING AND DECODING OF SOUND  
LINKS"

Group Art Unit: 2161

Examiner: unknown

Our Ref.: B-4410 619360-7

Date of this paper:

February 28, 2002

Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231

Attn: Customer Service Center  
Initial Patent Examination Division

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ORIGINALLY FILED**

Sir:

**COMPLETION OF FILING REQUIREMENTS**

Responsive to office letter dated 1/02/2002 (copy attached).

**DECLARATION OR OATH**

- [X] Please find enclosed the Declaration/Power signed by inventor Andrew THOMAS.
- [X] Please find enclosed the Declaration/Power signed by inventors Stephen John HINDE, Martin SADLER, and Simon Edwin CROUCH.

**AMENDMENT OF CLAIMS**

- [ ] Please cancel claims \_\_\_\_\_ inclusive
- [ ] Please find enclosed an amendment deleting multiple dependencies

NOTE: The filing fees, fees for and surcharge fees listed below are reduced by 50% where proof of a small entity status is established on or before the date the fee is paid. If the full fee is paid but a verified statement is filed within two months of the date of payment of the first fee then the excess fee paid will be refunded on request. Notice of January 20, 1983. 1027 TMOG 114.

**FEES**

- |  |                 |
|--|-----------------|
| [X] Filing fee (37 CFR 1.16(a) - 740.00) | <u>\$740.00</u> |
| (small entity - 370.00)                  |                 |
| [X] Fees for claims                      |                 |
| [X] 1 Independent claims in excess of 3  | <u>\$ 84.00</u> |
| (37 CFR 1.16(b) - 84.00)                 |                 |
| (small entity - 42.00)                   |                 |
| [X] 20 Claims in excess of 20            |                 |
| (37 CFR 1.16(c) - 18.00)                 | <u>\$360.00</u> |
| (small entity - 9.00)                    |                 |

**CERTIFICATE OF MAILING (37 CFR 1.8a)**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:

Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231

Attn: Customer Service Center  
Initial Patent Examination Division

Date: February 28, 2002

Karyn Lao

(Typed or printed name of person mailing paper)

[Signature]  
(Signature of person mailing paper)

**Completion of Filing Requirements (Page 1 of 2)**

- ☐ Multiple dependent claim(s)  
(37 CFR 1.16(d) - 260.00) \_\_\_\_\_  
(small entity - 130.00) \_\_\_\_\_
- ☒ Surcharge fees
- ☒ Late payment of filing fee  
(37 CFR 1.16(e) - 130.00) \_\_\_\_\_  
(small entity - 65.00) \_\_\_\_\_
- AND**
- ☒ Late filing of declaration or oath  
(37 CFR 1.16(e) - 130.00) \$130.00  
(small entity - 65.00) \_\_\_\_\_
- ☐ Petition fee for filing by person not  
the inventor (37 CFR 1.17(h) \$120.00) \_\_\_\_\_
- ☐ Recording assignment  
(37 CFR 1.21(h) (i) 40.00) \_\_\_\_\_
- ☐ Attached is an assignment to \_\_\_\_\_
- ☒ Claim to Priority with priority document

Total fees: \$1,314.00

**SMALL ENTITY STATUS**

- ☐ A verified statement that this filing is by a small entity is
- ☐ Attached ☐ Already filed on \_\_\_\_\_  
W/cover sheet

**PAYMENT OF FEES**

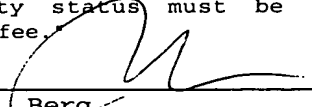
- ☒ Enclosed is a check in the amount of \$1,314.00.
- ☐ Charge Account No. 12-0415 in the amount of \_\_\_\_\_.  
A duplicate of this request is attached.

NOTE: Fees should be itemized in such a manner that it is clear for which purpose the fees are paid. 37 CFR 1.22(b).

**AUTHORIZATION TO CHARGE ADDITIONAL FEES**

- ☒ The Commissioner is hereby authorized to charge the following additional fees which may be required or credit any overpayment to Account No. 12-0415.
- ☒ 37 CFR 1.16 (filing fees and presentation of extra claims)
- ☒ 37 CFR 1.17 (application processing fees)
- ☐ 37 CFR 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b)).

NOTE: 37 CFR 1.28(b) requires "Notification of any change in status resulting in loss of entitlement to small entity status must be filed in the application... prior to paying... issue fee."

  
\_\_\_\_\_  
Richard P. Berg  
Attorney for the Applicant  
Registration No.: 28,145

c/o LADAS & PARRY  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/005,376	12/04/2001	Andrew Thomas	B-4410 619360-7

CONFIRMATION NO. 7022

## FORMALITIES LETTER



\*OC00000007249634\*

c/o LADAS & PARRY  
5670 Wilshire Boulevard, Suite 2100  
Los Angeles, CA 90036-5679

Date Mailed: 01/02/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/13/2002 SMINASS1 00000071 10005376

FILED UNDER 37 CFR 1.53(b)

01 FC:101	740.00 OP
02 FC:103	360.00 OP
03 FC:102	84.00 OP
04 FC:105	130.00 OP

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$444.
  - \$360 for 20 total claims over 20.
  - \$84 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1314.**

*A copy of this notice **MUST** be returned with the reply.*

H.T  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

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